

## The Jordan 1-Structure of a Matrix of Redheffer

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### ABSTRACT

Let  $\epsilon_1 = (1, 0, \dots, 0)$  and  $\epsilon = (1, 1, \dots, 1)$  be complex vectors of length  $n$ , and let  $D_n = (d_{ij})$ , with  $d_{ij} = 1$  if  $i \mid j$  and 0 otherwise, be an  $n \times n$  complex matrix. Then the sizes of the Jordan blocks of the matrix  $\epsilon^T \epsilon_1 + D_n$  corresponding to the eigenvalue 1 are

$$[\log_2(n/3)] + 1, [\log_2(n/5)] + 1, \dots, [\log_2(n/\{n\})] + 1,$$

where  $\{n\}$  is the greatest odd integer less than or equal to  $n$ .

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### INTRODUCTION

Let  $\epsilon_1 = (1, 0, \dots, 0)$  and  $\gamma_n = (c_1, c_2, \dots, c_n)$  be vectors in the space  $\mathbb{C}^n$  of rows of length  $n$  over the field  $\mathbb{C}$  of complex numbers. Let  $C_n = \gamma_n^T \epsilon_1$ ,  $D_n = (d_{ij})$  with  $d_{ij} = 1$  if  $i \mid j$  and 0 otherwise, and let  $A_n = C_n + D_n$  be  $n \times n$  complex matrices. For example,

$$A_6 = \begin{pmatrix} c_1 + 1 & 1 & 1 & 1 & 1 & 1 \\ c_2 & 1 & 0 & 1 & 0 & 1 \\ c_3 & 0 & 1 & 0 & 0 & 1 \\ c_4 & 0 & 0 & 1 & 0 & 0 \\ c_5 & 0 & 0 & 0 & 1 & 0 \\ c_6 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}.$$

The matrix  $A_n$  was introduced by R. Redheffer in [4]. It is known that the determinant of  $A_n$  is  $1 + \sum_{k=1}^n c_k \mu(k)$ , where  $\mu$  is the Möbius function. In particular, if  $\gamma_n = (0, 1, \dots, 1)$ ,  $n > 1$ , then the determinant of  $A_n$  is Mertens's function  $M(n) = \sum_{k=1}^n \mu(k)$ . (See [1] or [4].) Consequently, in this case,  $|\det A_n| = O(n^{1/2+\epsilon})$  for every  $\epsilon > 0$  if and only if the Riemann hypothesis is true. (See, for example, [5].)

We proceed by introducing some notation. First, the greatest integer  $[x]$  of a real number  $x$  is the unique integer satisfying

$$[x] \leq x < [x] + 1. \quad (0.1)$$

Second, for convenience we often let

$$\nu(x) = [\log_2 x]. \quad (0.2)$$

That is, if  $x$  is a positive real number, then  $\nu(x)$  is the unique integer for which  $2^{\nu(x)} \leq x < 2^{\nu(x)+1}$ . Third, for  $\gamma_n = (c_1, c_2, \dots, c_n)$  in  $\mathbb{C}^n$ , we define

$$\tau(\gamma_n) = \begin{cases} c_{2^{\nu(n)}} & \text{if } n < 3 \times 2^{\nu(n)-1} \\ c_{2^{\nu(n)} + \nu(n)} c_{3 \times 2^{\nu(n)-1}} & \text{if } n \geq 3 \times 2^{\nu(n)-1}. \end{cases} \quad (0.3)$$

We note that  $3 \times 2^{\nu(n)-1}$  is the "midpoint" of the "interval"  $[2^{\nu(n)}, 2^{\nu(n)+1})$  containing  $n$ . Thus, for example  $\nu(4) = \nu(5) = \nu(6) = \nu(7) = 2$ , and  $\tau(\gamma_4) = \tau(\gamma_5) = c_4$ , while  $\tau(\gamma_6) = \tau(\gamma_7) = c_4 + 2c_6$ .

It was recently shown [1] for the special case  $\gamma_n = (0, 1, \dots, 1)$  with  $n > 1$  that 1 is an eigenvalue of  $A_n$  of multiplicity  $n - \nu(n) - 1$  in the characteristic polynomial of  $A_n$ . In the present paper we characterize those  $A_n$  for which this result holds. Specifically, let  $\sigma_1(n)$  be the multiplicity of 1 in the characteristic polynomial of  $A_n$ . We show below that  $\sigma_1(n) = n - \nu(n) - 1$  iff  $\tau(\gamma_n) \neq 0$ ; furthermore, in this case,  $A_n$  is similar to a direct sum of a cyclic matrix of order  $\nu(n) + 1$ , whose minimum polynomial  $h_n(x)$  satisfies  $h_n(1) \neq 0$ , and  $[(n-1)/2]$  Jordan blocks associated with the eigenvalue 1 of orders  $\nu(n/k) + 1$ ,  $k = 3, 5, \dots, 2[(n-1)/2] + 1$ .

For example, let  $n = 6$ . Then  $6 - \nu(6) - 1 = 3$  and  $\tau(\gamma_6) = c_4 + 2c_6$ . By direct calculation the characteristic polynomial of  $A_6$  is  $(x-1)^3 h_6(x)$ , where

$$h_6(x) = x^3 - (c_1 + 3)x^2 + \left( 3(c_1 + 1) - \sum_{k=1}^6 c_k \right) x - \left( (c_4 + 2c_6) + 2c_1 + 1 - \sum_{k=1}^6 c_k \right).$$

Since  $h_6(1) = -(c_4 + 2c_6)$ , then it follows that the multiplicity  $\sigma_1(6)$  of the root 1 is 3 iff  $\tau(\gamma_6) \neq 0$ . In this case  $A_6$  is similar to the direct sum of a cyclic matrix with minimum polynomial  $h_6(x)$  of degree  $3 = \nu(6) + 1$  and  $2 = [(6 - 1)/2]$  Jordan 1-blocks,

$$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \quad \text{and} \quad (1),$$

of orders  $2 = \nu(6/3) + 1$  and  $1 = \nu(6/5) + 1$ .

## THE MAIN RESULTS

We begin with an investigation of the strictly upper triangular part  $T_n$  of the matrix  $D_n = (d_{ij})$ , where  $d_{ij} = 1$  if  $i \mid j$  and 0 otherwise.

First, given integers  $i, j$ , and  $m$  with  $1 \leq i, j \leq n$  and  $m \geq 0$ , we say that an  $(i, j; m)$ -list is a list  $(h_1, h_2, \dots, h_m)$  of  $m$  integers greater than 1 such that  $j = ih_1h_2 \cdots h_m$ . We demonstrate the following fact.

**LEMMA 1.** *Let  $T_n = D_n - I_n$ , and let  $T_n^m = (t_{ij}^{(m)})$  with  $1 \leq i, j \leq n$  and  $m \geq 0$ . Then  $t_{ij}^{(m)}$  is the number of  $(i, j; m)$ -lists.*

*Proof.* Since

$$t_{ij} = t_{ij}^{(1)} = \begin{cases} 1 & \text{if } i \mid j \text{ and } i < j \\ 0 & \text{otherwise,} \end{cases}$$

then  $t_{ik_1}t_{k_1k_2} \cdots t_{k_{m-1}j} = 1$  if and only if

$$i \mid k_1, \quad i < k_1, \quad k_1 \mid k_2, \quad k_1 < k_2, \dots, \quad k_{m-1} \mid j, \quad k_{m-1} < j.$$

Consequently, since

$$t_{ij}^{(m)} = \sum_{k_1, \dots, k_{m-1} = 1}^n t_{ik_1}t_{k_1k_2} \cdots t_{k_{m-1}j},$$

then  $t_{ij}^{(m)}$  is the number of lists  $(i, k_1, k_2, \dots, k_{m-1}, j)$  of integers such that  $i \mid k_1 \mid k_2 \mid \cdots \mid k_{m-1} \mid j$  with  $1 \leq i < k_1 < k_2 < \cdots < k_{m-1} < j \leq n$ . But, from the following observation, such lists are in one-to-one correspondence with

$(i, j; m)$ -lists: if  $i \mid k_1 \mid k_2 \mid \cdots \mid k_{m-1} \mid j$  with  $i < k_1 < \cdots < k_{m-1} < j$ , then

$$j = i \frac{k_1}{i} \frac{k_2}{k_1} \cdots \frac{k_{m-1}}{k_{m-2}} \frac{j}{k_{m-1}}$$

and  $(k_1/i, k_2/k_1, \dots, k_{m-1}/k_{m-2}, j/k_{m-1})$  is an  $(i, j; m)$ -list; conversely, if  $(h_1, h_2, \dots, h_m)$  is an  $(i, j; m)$ -list, then  $j = ih_1h_2 \cdots h_m$  and

$$i \mid ih_1 \mid ih_1h_2 \mid \cdots \mid ih_1h_2 \cdots h_m$$

with  $1 \leq i < ih_1 < ih_1h_2 < \cdots < ih_1h_2 \cdots h_m < j \leq n$ . ■

We illustrate Lemma 1 with the example  $n = 6$ . In this case,

$$T_6 = \begin{pmatrix} 0 & 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix},$$

$$T_6^2 = \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 2 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix},$$

and  $T_6^3 = 0$ . In particular, since  $4 = 1 \times 2 \times 2$ , then clearly the pair  $(2, 2)$  is the one and only  $(1, 4; 2)$ -list and  $t_{1,4}^{(2)} = 1$ ; since  $6 = 1 \times 2 \times 3 = 1 \times 3 \times 2$ , then there are two  $(1, 6; 2)$ -lists and  $t_{1,6}^{(2)} = 2$ . Finally, if  $j = ih_1h_2$  for  $1 \leq i, j \leq 6$  and integers  $h_1, h_2$  greater than 1, then necessarily  $i = 1$  and  $j = 4$  or  $6$ . Thus, every other entry of  $T_6^2$  is zero.

We now summarize the details about  $T_n$  that are used in this paper.

**COROLLARY 1.** *Let  $t_{ij}^{(m)}$  be given as in Lemma 1. Then  $t_{ij}^{(m)} = 0$  whenever*

- (1.1)  $j < i \times 2^m$ ,
- (1.2)  $i > \lfloor n/2^m \rfloor$ ,
- (1.3)  $m \geq \lceil \log_2(n/i) \rceil + 1$ ,
- (1.4)  $m \geq \lceil \log_2 n \rceil + 1$ ,

$$(1.5) \quad 0 \leq m < \lfloor \log_2 n \rfloor, \quad i = \lfloor n/2^m \rfloor, \quad j > i \times 2^m,$$

$$(1.6) \quad m = \lfloor \log_2 n \rfloor, \quad 2^m < j \leq n < 3 \times 2^{m-1},$$

$$(1.7) \quad m = \lfloor \log_2 n \rfloor, \quad 2^m < j \neq 3 \times 2^{m-1} \leq n.$$

Moreover, if  $1 \leq i \leq \lfloor n/2^m \rfloor$ , then  $t_{i,i \times 2^m}^{(m)} = 1$ ; and if  $m = \lfloor \log_2 n \rfloor$  with  $3 \times 2^{m-1} \leq n$ , then  $t_{1,3 \times 2^{m-1}}^{(m)} = m$ .

*Proof.* (1.1): If  $j < i \times 2^m$ , then there are no  $(i, j; m)$ -lists.

(1.2): If  $i > \lfloor n/2^m \rfloor$ , then  $n/2^m < i$ , so that  $j \leq n < i \times 2^m$  and  $t_{ij}^{(m)} = 0$  by (1.1).

(1.3): Let  $m \geq \lfloor \log_2(n/i) \rfloor + 1$ . Then  $m > \log_2(n/i)$ . So  $n/i < 2^m$  and  $j \leq n < i \times 2^m$ . Again, by (1.1),  $t_{ij}^{(m)} = 0$ .

(1.4): Since  $1 \leq i$ , this is a consequence of (1.3).

(1.5): Let  $0 \leq m < \lfloor \log_2 n \rfloor$ ,  $i = \lfloor n/2^m \rfloor$ , and  $j > i \times 2^m$ . Then  $m+1 \leq \lfloor \log_2 n \rfloor \leq \log_2 n$ ,  $2^{m+1} \leq n$ , and  $2 \leq n/2^m$ . But, whenever  $x \geq 2$ , then  $2x < 3[x]$ ; in particular, for  $x = n/2^m$ ,  $2(n/2^m) < 3\lfloor n/2^m \rfloor$ . Hence,  $i \times 2^m < j \leq n < i \times 2^{m-1} \times 3$  and there are no  $(i, j; m)$ -lists.

(1.6): Let  $m = \lfloor \log_2 n \rfloor$  and  $2^m < j \leq n < 3 \times 2^{m-1}$ . Since  $\lfloor n/2^m \rfloor = 1$ , then by (1.2) we need only consider the case  $i = 1$ . Since  $i \times 2^m < j \leq n < i \times 2^{m-1} \times 3$ , there are no  $(i, j; m)$ -lists.

(1.7): Let  $m = \lfloor \log_2 n \rfloor$  and  $2^m < j \neq 3 \times 2^{m-1} \leq n$ . Again  $\lfloor n/2^m \rfloor = 1$ . Since  $2^{m-2} \times 3^2 > 2^{m-2} \times 2^3 = 2^{m+1} > n$  and  $2^{m-1} \times 5 > 2^{m-1} \times 2^2 = 2^{m+1} > n$ , then  $t_{ij}^{(m)} = 0$  whenever  $j \neq 2^m$  and  $j \neq 3 \times 2^{m-1}$ .

Next, let  $1 \leq i \leq \lfloor n/2^m \rfloor$  and  $j = i \times 2^m$ . Then  $1 \leq i$ ,  $j \leq n$  and there is precisely one  $(i, j; m)$ -list; that is,  $t_{ij}^{(m)} = 1$ . Finally, let  $m = \lfloor \log_2 n \rfloor$  with  $3 \times 2^{m-1} \leq n$ . Then, for  $i = 1$  and  $j = 3 \times 2^{m-1}$ , there are  $m$   $(i, j; m)$ -lists

$$(3, 2, 2, \dots, 2), \quad (2, 3, 2, \dots, 2), \dots, \quad (2, 2, 2, \dots, 3);$$

consequently, in this case,  $t_{ij}^{(m)} = m$ . ■

In particular, by (1.4) and the last part of Corollary 1,  $T_n$  is nilpotent of index  $\lfloor \log_2 n \rfloor + 1 = \nu(n) + 1$ .

We illustrate Corollary 1 with the example  $n = 6$ . In this case,  $\nu(6) = \lfloor \log_2 6 \rfloor = 2$  and  $6 \geq 3 \times 2^{\nu(6)-1}$ ; in particular,  $t_{1,4}^{(2)} = 1$ ,  $t_{1,6}^{(2)} = \lfloor \log_2 6 \rfloor$ , and  $T_6^{\nu(6)+1} = 0$ .

**LEMMA 2.** Let  $\epsilon_1 = (1, 0, \dots, 0)$ ,  $\epsilon_2 = (0, 1, \dots, 0)$ ,  $\dots$ ,  $\epsilon_n = (0, 0, \dots, 1)$ , and  $\gamma_n = (c_1, c_2, \dots, c_n)$  be row vectors of length  $n$ . Let  $C_n = \gamma_n^T \epsilon_1$ ,  $A_n = C_n + D_n$ , and  $T_n = D_n - I_n$  be as above.

(2.1) *The space of column vectors spanned by*

$$\gamma_n^\top, (C_n + T_n)\gamma_n^\top, \dots, (C_n + T_n)^m \gamma_n^\top$$

*is the space spanned by*

$$\gamma_n^\top, T_n \gamma_n^\top, \dots, T_n^m \gamma_n^\top.$$

(2.2) *The space of row vectors spanned by*

$$\epsilon_1, \epsilon_1 A_n, \dots, \epsilon_1 A_n^m$$

*is the space spanned by*

$$\epsilon_1, \epsilon_1 T_n, \dots, \epsilon_1 T_n^m.$$

(2.3) *If  $T_n^{\nu(n)} \gamma_n^\top \neq 0$  and  $m \leq \nu(n) + 1$ , then the list of column vectors*

$$\gamma_n^\top, T_n \gamma_n^\top, \dots, T_n^{m-1} \gamma_n^\top, \epsilon_1^\top, \dots, \epsilon_{\lfloor n/2^m \rfloor}^\top$$

*is linearly independent.*

(2.4) *If  $m \leq \nu(n) + 1$ , then the list of row vectors*

$$\epsilon_1, \epsilon_1 T_n, \dots, \epsilon_1 T_n^{m-1}, \epsilon_1 T_n^m, \epsilon_2 T_n^m, \dots, \epsilon_{\lfloor n/2^m \rfloor} T_n^m$$

*is linearly independent.*

*Proof.* (2.1): Since the first vector in both lists is  $\gamma_n^\top$ , we proceed by induction on  $m$ . Thus, we assume the conclusion for a given  $m$ , and consider lists of length  $m + 1$ . With the convention that  $f_m(T_n)\gamma_n^\top$  represents a linear combination of  $\gamma_n^\top, T_n \gamma_n^\top, \dots, T_n^m \gamma_n^\top$ , then, by use of the fact that  $\epsilon_1(C_n + T_n)^m \gamma_n^\top$  is a  $1 \times 1$  scalar matrix,

$$\begin{aligned} (C_n + T_n)^{m+1} \gamma_n^\top &= (C_n + T_n)(C_n + T_n)^m \gamma_n^\top \\ &= C_n(C_n + T_n)^m \gamma_n^\top + T_n(C_n + T_n)^m \gamma_n^\top \\ &= (\gamma_n^\top \epsilon_1)(C_n + T_n)^m \gamma_n^\top + T_n f_m(T_n) \gamma_n^\top \\ &= (\epsilon_1(C_n + T_n)^m \gamma_n^\top) \gamma_n^\top + f_{m+1}(T_n) \gamma_n^\top \end{aligned}$$

is in the span of  $\gamma_n^\top, T_n \gamma_n^\top, \dots, T_n^{m+1} \gamma_n^\top$ . Consequently, the space spanned by the first list is contained in the space spanned by the second. A similar argument provides the reverse containment.

(2.2): By use of the identity  $A_n = C_n + I_n + T_n$ , an analogous argument may be used to prove (2.2).

(2.3): First, consider the case  $m = \nu(n) + 1$ . Then  $[n/2^m] = 0$ , and it is understood that the latter part of the list given in (2.3) is empty. By hypothesis,  $T_n^{m-1} \gamma_n^\top \neq 0$ ; consequently, since  $T_n$  is nilpotent, then the list  $\gamma_n^\top, T_n \gamma_n^\top, \dots, T_n^{m-1} \gamma_n^\top$  is linearly independent.

Next, let  $m \leq \nu(n)$  and suppose that

$$0 = a_0 \gamma_n^\top + a_1 T_n \gamma_n^\top + \dots + a_{m-1} T_n^{m-1} \gamma_n^\top + b_1 \epsilon_1^\top + \dots + b_{[n/2^m]} \epsilon_{[n/2^m]}^\top.$$

Since  $1 \leq [n/2^m] \leq n/2^m < 2^{\nu(n)-m+1}$ , then by (1.1) of Corollary 1 with  $i = 1$ ,

$$T_n^{\nu(n)-m+1} \epsilon_j^\top = 0 \quad \text{for } j = 1, \dots, [n/2^m].$$

Hence,

$$0 = a_0 T_n^{\nu(n)-m+1} \gamma_n^\top + a_1 T_n^{\nu(n)-m+2} \gamma_n^\top + \dots + a_{m-1} T_n^{\nu(n)} \gamma_n^\top.$$

Since by hypothesis  $T_n^{\nu(n)} \gamma_n^\top \neq 0$  and  $T_n$  is nilpotent, then  $a_0 = 0$ ,  $a_1 = 0, \dots, a_{m-1} = 0$ ; hence also  $b_1 = 0$ ,  $b_2 = 0, \dots, b_{[n/2^m]} = 0$ . That is, the list of (2.3) is linearly independent.

(2.4): Let  $m = \nu(n) + 1$ . Then  $[n/2^m] = 0$  and the latter part of the list (2.4) past  $\epsilon_1 T_n^{m-1}$  is empty. Since by Corollary 1,  $t_{1,2^{m-1}}^m = 1$ , then  $\epsilon_1 T_n^{m-1} \neq 0$ . Consequently, the list  $\epsilon_1, \epsilon_1 T_n, \dots, \epsilon_1 T_n^{m-1}$  is linearly independent. Finally, let  $m \leq \nu(n)$  and suppose that

$$0 = a_0 \epsilon_1 + a_1 \epsilon_1 T_n + \dots + a_{m-1} \epsilon_1 T_n^{m-1} + b_1 \epsilon_1 T_n^m + \dots + b_{[n/2^m]} \epsilon_{[n/2^m]} T_n^m.$$

Since  $T_n$  is nilpotent and  $\epsilon_1 T_n^{\nu(n)} \neq 0$ , then  $a_0 = 0$ ,  $a_1 = 0, \dots, a_{m-1} = 0$ ; therefore,  $\sum_{j=1}^{[n/2^m]} b_j \epsilon_j T_n^m = 0$ . But, by Corollary 1, the lead nonzero element of the  $i$ th row of  $T_n^m$  is a 1 in column  $i \times 2^m$ ,  $1 \leq i \leq [n/2^m]$ ; in particular, the list  $\epsilon_1 T_n^m, \dots, \epsilon_{[n/2^m]} T_n^m$  is linearly independent and  $b_1 = 0, \dots, b_{[n/2^m]} = 0$ . ■

**THEOREM 1.** *Let  $A_n = C_n + D_n$  with  $C_n = \gamma_n^\top \epsilon_1$  and  $D_n = I_n + T_n$  be given as above, and let  $m$  be a nonnegative integer. Suppose that  $T_n^{\nu(n)} \gamma_n^\top \neq 0$ .*

Then

$$\text{rank}(A_n - I_n)^m = \begin{cases} m + \lfloor n/2^m \rfloor & \text{if } m \leq \nu(n), \\ \nu(n) + 1 & \text{if } m \geq \nu(n). \end{cases}$$

Furthermore, if  $s_1(n)$  is the least  $m$  such that  $\text{rank}(A_n - I_n)^{m+1} = \text{rank}(A_n - I_n)^m$ , then

$$s_1(n) = \nu(n/3) + 1 = \begin{cases} \nu(n) - 1 & \text{if } n < 3 \times 2^{\nu(n)-1} \\ \nu(n) & \text{if } n \geq 3 \times 2^{\nu(n)-1}. \end{cases}$$

In particular,  $\text{rank}(A_n - I_n)^{s_1(n)} = \nu(n) + 1$ .

*Proof.* First, we show by induction on  $n$  that

$$(A_n - I_n)^m = \sum_{k=0}^{m-1} (C_n + T_n)^k C_n T_n^{m-1-k} + T_n^m.$$

Indeed, since

$$(A_n - I_n)^1 = C_n + T_n = (C_n + T_n)^0 C_n T_n^0 + T_n^1,$$

we assume the result for the case  $m$  and observe that

$$\begin{aligned} (A_n - I_n)^{m+1} &= (A_n - I_n)(A_n - I_n)^m = (C_n + T_n)(A_n - I_n)^m \\ &= \sum_{k=0}^{m-1} (C_n + T_n)^{k+1} C_n T_n^{m-1-k} + (C_n + T_n) T_n^m \\ &= \sum_{k=1}^m (C_n + T_n)^k C_n T_n^{m-k} + (C_n + T_n)^0 C_n T_n^m + T_n^{m+1} \\ &= \sum_{k=0}^{(m+1)-1} (C_n + T_n)^k C_n T_n^{(m+1)-1-k} + T_n^{m+1}. \end{aligned}$$

Now, let  $m \leq \nu(n) + 1$ . If  $m = \nu(n) + 1$  then  $T_n^m = 0$ , and if  $m \leq \nu(n)$ , then by (1.2) of Corollary 1,

$$(\epsilon_1^T \epsilon_1 + \cdots + \epsilon_{\lfloor n/2^m \rfloor}^T \epsilon_{\lfloor n/2^m \rfloor}) T_n^m = T_n^m.$$



Consequently, since  $C_n = \gamma_n^\top \epsilon_1$ ,

$$(A_n - I_n)^m = \sum_{k=0}^{m-1} (C_n + T_n)^k \gamma_n^\top \epsilon_1 T_n^{m-1-k} + \sum_{k=1}^{\lfloor n/2^m \rfloor} \epsilon_k^\top \epsilon_k T_n^m,$$

which is the product of the  $n \times (m + \lfloor n/2^m \rfloor)$  matrix  $F$  whose columns are

$$(C_n + T_n)^0 \gamma_n^\top, \dots, (C_n + T_n)^{m-1} \gamma_n^\top, \epsilon_1^\top, \dots, \epsilon_{\lfloor n/2^m \rfloor}^\top$$

with the  $(m + \lfloor n/2^m \rfloor) \times n$  matrix  $G$  whose rows are

$$\epsilon_1^\top T_n^{m-1}, \dots, \epsilon_1^\top, \epsilon_1^\top T_n^m, \dots, \epsilon_{\lfloor n/2^m \rfloor}^\top T_n^m.$$

By (2.1) of Lemma 2, the columns of the first factor span the same space as spanned by the columns

$$\gamma_n^\top, T_n \gamma_n^\top, \dots, T_n^{m-1} \gamma_n^\top, \epsilon_1^\top, \dots, \epsilon_{\lfloor n/2^m \rfloor}^\top.$$

Consequently, since by hypothesis  $T_n^{\nu(n)} \gamma_n^\top \neq 0$ , by (2.3) and (2.4) of Lemma 2,  $FG$  is a full-rank factorization of  $(A_n - I_n)^m$ ; in other words,  $(A_n - I_n)^m$  is of rank  $m + \lfloor n/2^m \rfloor$  for  $m \leq \nu(n) + 1$ . In particular, since

$$\text{rank}(A_n - I_n)^{\nu(n)+1} = \nu(n) + 1 = \text{rank}(A_n - I_n)^{\nu(n)},$$

it follows that  $\text{rank}(A_n - I_n)^m = \nu(n) + 1$  for  $m \geq \nu(n)$ .

Now, let  $s_1(n)$  be the least nonnegative integer  $m$  such that  $\text{rank}(A_n - I_n)^{m+1} = \text{rank}(A_n - I_n)^m$ . Since the rank stabilizes at the first instance of equality, then, in particular,  $s_1(n) \leq \nu(n)$  and  $\text{rank}(A_n - I_n)^{s_1(n)} = \nu(n) + 1$ . Furthermore, since

$$(m+1) + \lfloor n/2^{m+1} \rfloor = m + \lfloor n/2^m \rfloor \quad \text{iff} \quad 1 + \lfloor n/2^{m+1} \rfloor = \lfloor n/2^m \rfloor,$$

then  $s_1(n)$  is the least nonnegative integer  $m$  such that  $\lfloor n/2^m \rfloor - \lfloor n/2^{m+1} \rfloor = 1$ . Now,  $\lfloor n/2^{\nu(n)+1} \rfloor = 0$ ,  $\lfloor n/2^{\nu(n)} \rfloor = 1$ ; and if  $n < 3 \times 2^{\nu(n)-1}$  then  $\lfloor n/2^{\nu(n)-1} \rfloor = 2$ ,  $\lfloor n/2^{\nu(n)-2} \rfloor \geq 4$ ; and if  $n \geq 3 \times 2^{\nu(n)-1}$ , then  $\lfloor n/2^{\nu(n)-1} \rfloor = 3$ ,  $\lfloor n/2^{\nu(n)-2} \rfloor \geq 6$ . Consequently,  $s_1(n) = \nu(n) - 1$  if  $n < 3 \times 2^{\nu(n)-1}$ , and  $s_1(n) = \nu(n)$  if  $n \geq 3 \times 2^{\nu(n)-1}$ . Furthermore, in the first case, since  $2^{\nu(n)} \leq n$

$< 3 \times 2^{\nu(n)-1}$ , then  $2^{\nu(n)-2} < \frac{4}{3} 2^{\nu(n)-2} = \frac{1}{3} 2^{\nu(n)} \leq n/3 < 2^{\nu(n)-1}$  and  $\nu(n/3) = \nu(n) - 2 = s_1(n) - 1$ ; that is,  $s_1(n) = \nu(n/3) + 1$ . In the second case,  $2^{\nu(n)-1} \leq n/3 < \frac{1}{3} 2^{\nu(n)+1} < 2^{\nu(n)}$ , and  $\nu(n/3) = \nu(n) - 1 = s_1(n) - 1$ ; again,  $s_1(n) = \nu(n/3) + 1$ . ■

Since  $[n/2^{\nu(n)}] = 1$ , then by the observation above,  $\epsilon_1^T \epsilon_1 T_n^{\nu(n)} = T_n^{\nu(n)}$ . But by (1.6) and (1.7) of Corollary 1 and the definition of  $\tau(\gamma_n)$ , as specified in (0.3) of the introduction,

$$\tau(\gamma_n) = \epsilon_1 T_n^{\nu(n)} \gamma_n^T.$$

Therefore,  $\epsilon_1^T \tau(\gamma_n) = T_n^{\nu(n)} \gamma_n^T$ , and  $\tau(\gamma_n) \neq 0$  iff  $T_n^{\nu(n)} \gamma_n^T \neq 0$ .

We now state and prove our main result. First, however, we remind the reader of the definitions of the Segre and Weyr characteristics of a matrix. (See, for example, [3, pp. 152–154].) Specifically, let  $\lambda$  be an eigenvalue of  $A$ . The Weyr characteristic of  $A$  associated with  $\lambda$  is the (finite, nonincreasing) list of positive differences given by  $\text{rank}(A - \lambda I)^{j-1} - \text{rank}(A - \lambda I)^j$ ,  $j = 1, 2, \dots$ . The Segre characteristic of  $A$  associated with  $\lambda$  is the conjugate partition of the Weyr characteristic, or equivalently, is the (nonincreasing) list of sizes of  $\lambda$ -blocks in the Jordan canonical form of  $A$ .

**THEOREM 2.** *Let  $\epsilon_1 = (1, 0, \dots, 0)$  and  $\gamma_n = (c_1, c_2, \dots, c_n)$  be vectors in  $\mathbb{C}^n$ , and let  $\tau(\gamma_n)$  be given by (0.3) above. Let  $C_n = \gamma_n^T \epsilon_1$ ,  $D_n = (d_{ij})$  with  $d_{ij} = 1$  if  $i \mid j$  and 0 otherwise, and let  $A_n = C_n + D_n$  be  $n \times n$  complex matrices. Let*

$$\mathcal{S}_1(A_n) = (s_1(n), s_2(n), \dots, s_{w_1(n)}(n))$$

*be the Segre characteristic, and*

$$\mathcal{W}_1(A_n) = (w_1(n), w_2(n), \dots, w_{s_1(n)}(n))$$

*be the Weyr characteristic of  $A_n$  associated with the eigenvalue 1. If  $\tau(\gamma_n) \neq 0$ , then*

$$s_i(n) = \left\lceil \log_2 \frac{n}{2^i + 1} \right\rceil + 1, \quad w_j(n) = \left\lfloor \frac{n}{2^{j-1}} \right\rfloor - \left\lfloor \frac{n}{2^j} \right\rfloor - 1.$$

*Proof.* By definition of the Weyr characteristic and by Theorem 1, since  $T_n^{\nu(n)} \gamma_n^\top = \epsilon_1^\top \tau(\gamma_n) \neq 0$ ,

$$\begin{aligned} w_j(n) &= \text{rank}(A_n - I_n)^{j-1} - \text{rank}(A_n - I_n)^j \\ &= \left( (j-1) + \left\lfloor \frac{n}{2^{j-1}} \right\rfloor \right) - \left( j + \left\lfloor \frac{n}{2^j} \right\rfloor \right) \\ &= \left\lfloor \frac{n}{2^{j-1}} \right\rfloor - \left\lfloor \frac{n}{2^j} \right\rfloor - 1 \end{aligned}$$

for  $j = 1, 2, \dots$ ,  $s_1(n) = \nu(n/3) + 1 = \lfloor \log_2(n/3) \rfloor + 1$ . In particular, if  $n < 3$ , then  $\mathcal{W}_1(A_n)$  is empty.

Next, we determine the conjugate partition of the Weyr characteristic, which provides the Segre characteristic  $\mathcal{S}_1(A_n)$ .

First, given  $j$ , let  $t = \lfloor n/2^j \rfloor$ . Since  $t \times 2^j \leq n < (t+1) \times 2^j$ , then  $t$  is the number of positive multiples of  $2^j$  which are at most  $n$ . Similarly,  $\lfloor n/2^{j-1} \rfloor$  is the number of positive multiples of  $2^{j-1}$  which are at most  $n$ . Since the even multiples of  $2^{j-1}$  of at most  $n$  are in one-to-one correspondence with the multiples of  $2^j$  of at most  $n$ , then  $\lfloor n/2^{j-1} \rfloor - \lfloor n/2^j \rfloor$  is the number of odd multiples of  $2^{j-1}$  of at most  $n$ .

Now,  $(i, j)$  is a point of the Segre-Weyr array of  $A_n$  associated with the eigenvalue 1 (see, for example, [3, p. 153]) iff  $i \leq w_j(n)$  iff  $i+1 \leq w_j(n)+1$  iff  $i+1 \leq \lfloor n/2^{j-1} \rfloor - \lfloor n/2^j \rfloor$  iff the  $(i+1)$ st odd multiple of  $2^{j-1}$  is at most  $n$  iff  $(2i+1) \times 2^{j-1} \leq n$  iff  $2^{j-1} \leq n/(2i+1)$  iff  $j-1 \leq \log_2(n/(2i+1))$  iff  $j \leq \log_2(n/(2i+1)) + 1$ . If  $i$  is fixed, then  $s_i(n)$  is the greatest integer  $j$  for which this last inequality is satisfied. That is, for  $1 \leq i \leq w_1(n) = n - \lfloor n/2 \rfloor - 1$ ,

$$s_i(n) = \left\lfloor \log_2 \frac{n}{2i+1} \right\rfloor + 1. \quad \blacksquare$$

We express appreciation to Rodney W. Forcade and R. Vencil Skarda for their assistance in establishing the preceding conjugate partition.

**COROLLARY 2.** *Let the conditions be as in Theorem 2. If  $\tau(\gamma_n) \neq 0$ , then  $A_n$  is similar to a direct sum of a cyclic matrix of order  $\nu(n)+1$  and  $\lfloor (n-1)/2 \rfloor$  Jordan 1-blocks of orders*

$$\left\lfloor \log_2 \frac{n}{3} \right\rfloor + 1, \left\lfloor \log_2 \frac{n}{5} \right\rfloor + 1, \dots, \left\lfloor \log_2 \frac{n}{\{n\}} \right\rfloor + 1,$$

where  $\{n\} = 2[(n-1)/2] + 1$ ; in particular, the minimum polynomial of  $A_n$  is of degree

$$[\log_2 n] + \left\lceil \log_2 \frac{n}{3} \right\rceil + 2,$$

and  $\sigma_1(n)$ , the multiplicity of 1 in the characteristic polynomial of  $A_n$ , is  $n - \nu(n) - 1$ .

*Proof.* Since each positive integer  $k \leq n$  is uniquely identified with a pair  $(i, m)$  of nonnegative integers under the correspondence  $k = (2i+1) \times 2^m$ , where  $1 \leq 2^m = k/(2i+1) \leq n/(2i+1)$  requires  $0 \leq m \leq [\log_2(n/(2i+1))]$  and  $1 \leq 2i+1 = k/2^m \leq n$  requires  $0 \leq i \leq (n-1)/2$  and hence  $0 \leq i \leq [(n-1)/2]$ , we have

$$n = \sum_{i=0}^{[(n-1)/2]} \left( \left\lceil \log_2 \frac{n}{2i+1} \right\rceil + 1 \right).$$

Now, let  $h_n(x)(x-1)^{\sigma_1(n)}$  be the characteristic polynomial of  $A_n$ . By Theorem 2,

$$\sigma_1(n) = \sum_{i=1}^{w_1(n)} s_i(n) = \sum_{i=1}^{n-[n/2]-1} \left( \left\lceil \log_2 \frac{n}{2i+1} \right\rceil + 1 \right).$$

Thus, since  $[(n-1)/2] = n - [n/2] - 1$ , the multiplicity  $\sigma_1(n)$  of 1 in the characteristic polynomial of  $A_n$  is  $n - ([\log_2 n] + 1) = n - \nu(n) - 1$ . (This result was also obtained in [1] for the case  $\gamma_n = (0, 1, \dots, 1)$ ,  $n > 1$ .)

Next, let  $\lambda \neq 1$  be an eigenvalue of  $A_n$ . Since the  $(n-1) \times (n-1)$  lower right-hand block of  $A_n - \lambda I_n$  is upper triangular with the nonzero element  $1 - \lambda$  on the diagonal, then  $\text{rank}(A_n - \lambda I_n) = n - 1$  and there is precisely one Jordan  $\lambda$ -block in the Jordan normal form of  $A_n$ . The direct sum of these distinct Jordan  $\lambda$ -blocks for  $\lambda \neq 1$  forms a cyclic matrix of order  $\nu(n) + 1$ . Consequently,  $A_n$  is similar to a direct sum of a cyclic matrix of order  $\nu(n) + 1$  and  $[(n-1)/2] = n - [n/2] - 1 = w_1(n)$  Jordan 1-blocks of orders  $[\log_2(n/k)] + 1$ ,  $k = 3, 5, \dots, \{n\} = 2[(n-1)/2] + 1$ .

Finally, since the degree of the minimum polynomial of  $A_n$  is the sum of the orders of the largest Jordan blocks associated with the distinct eigenvalues of  $A_n$ , then the degree of the minimum polynomial of  $A_n$  is

$$([\log_2 n] + 1) + ([\log_2(n/3)] + 1). \quad \blacksquare$$

We next show that if  $\tau(\gamma_n) = 0$ , then the Jordan structure of  $A_n$  is different from the structure as given in Corollary 2.

**LEMMA 3.** *Let  $A_n = \gamma_n^\top \epsilon_1 + I_n + T_n$  be given as above. If  $T_n^{\nu(n)} \gamma_n^\top = 0$ , then  $\text{rank}(A_n - I_n)^{\nu(n)+1} < \nu(n) + 1$ .*

*Proof.* By the proof of Theorem 1,  $(A_n - I_n)^{\nu(n)+1}$  is the product of the  $n \times (\nu(n) + 1)$  matrix whose columns are

$$(C_n + T_n)^0 \gamma_n^\top, (C_n + T_n)^1 \gamma_n^\top, \dots, (C_n + T_n)^{\nu(n)} \gamma_n^\top$$

and the  $(\nu(n) + 1) \times n$  matrix whose rows are

$$\epsilon_1 T_n^{\nu(n)}, \dots, \epsilon_1 T_n, \epsilon_1.$$

If  $T_n^{\nu(n)} \gamma_n^\top = 0$ , then, by (2.1) of Lemma 2, the columns of the first factor are linearly dependent, and it follows that  $\text{rank}(A_n - I_n)^{\nu(n)+1} < \nu(n) + 1$ . ■

**COROLLARY 3.** *If  $\sigma_1(n)$  is the multiplicity of 1 in the characteristic polynomial of  $A_n$ , then  $\sigma_1(n) \geq n - \nu(n) - 1$ .*

*Proof.* By Theorem 1 and Lemma 3,

$$\text{rank}(A_n - I_n)^{\nu(n)+1} \leq \nu(n) + 1.$$

This means that  $\sigma_1(n) \geq n - (\nu(n) + 1)$ . ■

**THEOREM 3.** *Let the notation be as in Theorem 2, and let  $\sigma_1(n)$  be the multiplicity of 1 in the characteristic polynomial of  $A_n$ . Then the following statements are equivalent:*

- (3.1)  $\tau(\gamma_n) \neq 0$ .
- (3.2)  $s_i(n) = [\log_2(n/(2i + 1))] + 1$ ,  $i = 1, 2, \dots, [(n - 1)/2]$ .
- (3.3)  $\sigma_1(n) = n - \nu(n) - 1$ .
- (3.4)  $\text{rank}(A_n - I_n)^{\nu(n)+1} = \nu(n) + 1$ .

*Proof.* (3.1)  $\rightarrow$  (3.2): This is the statement of Theorem 2.

(3.2)  $\rightarrow$  (3.3): Since  $\sigma_1(n)$  is the sum of the entries in the Segre characteristic of  $A_n$  associated with 1, then, by the first part of the proof of Corollary 2, given (3.2),  $\sigma_1(n) = n - \nu(n) - 1$ .

(3.3)  $\rightarrow$  (3.4): Let  $\sigma_1(n) = n - \nu(n) - 1$ . Since the rank of any power of  $A_n - I_n$  is at least  $n - \sigma_1(n)$ , then in particular

$$\text{rank}(A_n - I_n)^{\nu(n)+1} \geq n - \sigma_1(n) = \nu(n) + 1.$$

But, by the proof of Corollary 3, the reverse inequality holds; hence, (3.4) is satisfied.

(3.4)  $\rightarrow$  (3.1): Given (3.4), by Lemma 3,  $T_n^{\nu(n)}\gamma_n^\top \neq 0$ , which is equivalent to  $\tau(\gamma_n) \neq 0$ .  $\blacksquare$

The matrix  $A_n$  defines a linear transformation

$$\mathcal{A}_n : \mathbb{C}^n \rightarrow \mathbb{C}^n, \zeta \rightarrow \zeta A_n$$

on the vector space  $\mathbb{C}^n$  of row vectors of length  $n$  over  $\mathbb{C}$ . We conclude this paper by providing a basis of  $\mathbb{C}^n$  such that the matrix of  $\mathcal{A}_n$  with respect to this basis is the direct sum of matrices given in Corollary 2.

**LEMMA 4.** *Let the conditions be as in Theorem 2, and suppose that  $\tau(\gamma_n) \neq 0$ . Let  $E_n$  be the primary idempotent of  $A_n$  associated with the eigenvalue 1. Then  $\epsilon_1 E_n = 0$ ,  $E_n \gamma_n^\top = 0$ ,  $T_n E_n = E_n T_n$ , and*

$$\begin{aligned} & \epsilon_1, \epsilon_1 A_n, \dots, \epsilon_1 A_n^{\nu(n)}, \\ & \epsilon_3 E_n, \epsilon_3 E_n T_n, \dots, \epsilon_3 E_n T_n^{\nu(n/3)}, \\ & \dots, \\ & \epsilon_{\{n\}} E_n, \epsilon_{\{n\}} E_n T_n, \dots, \epsilon_{\{n\}} E_n T_n^{\nu(n/\{n\})}, \end{aligned}$$

where  $\{n\} = 2[(n-1)/2] + 1$ , is a basis of  $\mathbb{C}^n$ .

*Proof.* Let  $A_n$  be given as above with the assumption that  $\tau(\gamma_n) \neq 0$ . Let  $E_n$  be the primary idempotent of  $A_n$  associated with the eigenvalue 1. (See, for example, [2, p. 132].) Since  $s_1(n)$  is the degree of the factor  $x - 1$  in the minimum polynomial of  $A_n$ , then  $I_n - E_n = g_n(A_n)(A_n - I_n)^{s_1(n)}$  for some polynomial  $g_n(x)$ . In particular, the row space of  $I_n - E_n$  is contained in the row space of  $(A_n - I_n)^{s_1(n)}$ . But, by Theorem 1,  $\text{rank}(A_n - I_n)^{s_1(n)} = \text{rank}(A_n - I_n)^{\nu(n)+1}$ . Therefore, the row space of  $(A_n - I_n)^{s_1(n)}$  is the same as the row space of  $(A_n - I_n)^{\nu(n)+1}$ , which by the proof of Lemma 3 is contained in the space spanned by the vectors  $\epsilon_1, \epsilon_1 T_n, \dots, \epsilon_1 T_n^{\nu(n)}$ . Since

$\text{rank}(I_n - E_n)$  is  $\nu(n) + 1$ , the sum of the multiplicities of the eigenvalues of  $A_n$  that are not equal to 1, it follows that  $\epsilon_1, \epsilon_1 T_n, \dots, \epsilon_1 T_n^{\nu(n)}$  is in fact a basis of the row space of  $I_n - E_n$ . In particular,  $\epsilon_1 = \alpha(I_n - E_n)$  for some row vector  $\alpha \in \mathbb{C}^n$ . Since  $E_n$  is idempotent,  $\epsilon_1 E_n = 0$ .

By an analogous argument, the column space of  $I_n - E_n$  is the space spanned by the columns

$$\gamma_n^\top, (C_n + T_n)\gamma_n^\top, \dots, (C_n + T_n)^{\nu(n)}\gamma_n^\top.$$

In particular,  $\gamma_n^\top = (I_n - E_n)\beta^\top$  for some  $\beta \in \mathbb{C}^n$ ; hence,  $E_n\gamma_n^\top = 0$ .

Therefore, since  $T_n = A_n - I_n - \gamma_n^\top \epsilon_1$ ,  $E_n$  is a polynomial in  $A_n$ ,  $\epsilon_1 E_n = 0$ , and  $E_n\gamma_n^\top = 0$ , then

$$\begin{aligned} E_n T_n &= E_n (A_n - I_n - \gamma_n^\top \epsilon_1) = E_n A_n - E_n \\ &= A E_n - E_n = (A_n - I_n - \gamma_n^\top \epsilon_1) E_n = T_n E_n. \end{aligned}$$

Finally, consider the list of vectors given in the statement of Lemma 4. By Corollary 2 this list contains  $n$  elements. We show that this list is a basis of  $\mathbb{C}^n$  by demonstrating that it is linearly independent. Thus, suppose

$$0 = \sum_{m=0}^{\nu(n)} a_{m,1} \epsilon_1 A_n^m + \sum_{i=1}^{[(n-1)/2]} \sum_{m=0}^{\nu(n/(2i+1))} a_{m,2i+1} \epsilon_{2i+1} E_n T_n^m.$$

Multiply this linear combination on the right by  $E_n$ . Since  $E_n$  commutes with both  $A_n$  and  $T_n$ ,  $\epsilon_1 E_n = 0$  and  $E_n$  is idempotent, then

$$0 = \left( \sum_{i=1}^{[(n-1)/2]} \sum_{m=0}^{\nu(n/(2i+1))} a_{m,2i+1} \epsilon_{2i+1} T_n^m \right) E_n.$$

But this requires the row space of the first factor of the product on the right to be in the row space of  $I_n - E_n$ . Therefore,

$$\sum_{i=1}^{[(n-1)/2]} \sum_{m=0}^{\nu(n/(2i+1))} a_{m,2i+1} \epsilon_{2i+1} T_n^m = \sum_{m=0}^{\nu(n)} b_m \epsilon_1 T_n^m.$$

Now, as in the proof of Corollary 2, each positive integer  $k \leq n$  is uniquely identified with the pair  $(i, m)$  of nonnegative integers under the correspon-

dence  $k = (2i+1)2^m$  with  $0 \leq m \leq [\log_2(n/(2i+1))] = \nu(n/(2i+1))$  and  $0 \leq i \leq [(n-1)/2]$ . Since, by Corollary 1, the first nonzero element of the row vector  $\epsilon_{2i+1}T_n^m$  is a 1 in column  $(2i+1)2^m$ , it follows that  $\{\epsilon_{2i+1}T_n^m\}$  is linearly independent; hence,  $a_{m,2i+1} = 0$  for  $0 \leq m \leq \nu(n/(2i+1))$  and  $1 \leq i \leq [(n-1)/2]$  [and  $b_m = 0$  for  $0 \leq m \leq \nu(n)$ ]. Finally, by (2.2) and (2.4) of Lemma 2,  $a_{m,1} = 0$  for  $0 \leq m \leq \nu(n)$ . ■

**COROLLARY 4.** *Let the conditions be as in Theorem 2, and suppose that  $\tau(\gamma_n) \neq 0$ . Then the matrix representation of the linear transformation  $\mathcal{A}_n: \mathbb{C}^n \rightarrow \mathbb{C}^n$ ,  $\zeta \rightarrow \zeta A_n$  with respect to the basis of Lemma 4 is the direct sum of the companion matrix of a polynomial  $h_n(x)$  of degree  $[\log_2 n] + 1$  with  $h_n(1) \neq 0$ , and  $[(n-1)/2]$  Jordan 1-blocks of orders*

$$\left\lceil \log_2 \frac{n}{3} \right\rceil + 1, \left\lceil \log_2 \frac{n}{5} \right\rceil + 1, \dots, \left\lceil \log_2 \frac{n}{\{n\}} \right\rceil + 1,$$

where  $\{n\} = 2[(n-1)/2] + 1$ .

*Proof.* First, let  $1 \leq i \leq [(n-1)/2]$ . Since  $E_n \gamma_n^T = 0$  and  $T_n E_n = E_n T_n$ , then

$$\begin{aligned} (\epsilon_{2i+1} E_n T_n^m) A_n &= \epsilon_{2i+1} E_n T_n^m (\gamma_n^T \epsilon_1 + I_n + T_n) \\ &= \epsilon_{2i+1} E_n T_n^m + \epsilon_{2i+1} E_n T_n^{m+1}. \end{aligned}$$

Also, by (1.3) of Corollary 1,

$$\epsilon_{2i+1} E_n T_n^{\nu(n/(2i+1))+1} = \epsilon_{2i+1} T_n^{\nu(n/(2i+1))+1} E_n = 0.$$

Consequently, the matrix of the restriction of  $\mathcal{A}_n$  to the  $\mathcal{A}_n$ -invariant subspace spanned by  $\epsilon_{2i+1} E_n, \epsilon_{2i+1} E_n T_n, \dots, \epsilon_{2i+1} E_n T_n^{\nu(n/(2i+1))}$  is the Jordan 1-block

$$\begin{pmatrix} 1 & 1 & 0 & \cdots & 0 & 0 \\ 0 & 1 & 1 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 1 & 1 \\ 0 & 0 & 0 & \cdots & 0 & 1 \end{pmatrix}$$



of order  $\nu(n/(2i+1)+1) = [\log_2(n/(2i+1))] + 1$ .

Next, by (2.2) of Lemma 2 and the fact that  $T_n^{\nu(n)+1} = 0$ ,  $\epsilon_1 A_n^{\nu(n)+1}$  is a linear combination of  $\epsilon_1, \epsilon_1 A_n, \dots, \epsilon_1 A_n^{\nu(n)}$ ; that is,  $\epsilon_1 h_n(A_n) = 0$  for some monic polynomial  $h_n(x)$  of degree  $\nu(n)+1$ . The matrix of the restriction of  $\mathcal{A}_n$  to the  $\mathcal{A}_n$ -cyclic subspace generated by  $\epsilon_1$  is the companion matrix of  $h_n(x)$ . Since the multiplicity of 1 in the characteristic polynomial of  $A_n$  is

$$\sigma_1(n) = n - \nu(n) - 1 = n - ([\log_2 n] + 1) = \sum_{i=1}^{[(n-1)/2]} \left( \left[ \log_2 \frac{n}{2i+1} \right] + 1 \right),$$

then the above Jordan 1-blocks account for the Jordan 1-structure of  $\mathcal{A}_n$ . Therefore,  $x-1$  is not a factor of  $h_n(x)$ , and  $h_n(1) \neq 0$ . ■

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